

# The slice theorem in Kähler geometry

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## Abstract

$(M, \omega)$  compact symplectic manifold. Almost complex structure  $J$  is compatible with  $\omega$  if  $\omega(\cdot, J\cdot)$  is a Riemannian metric. The space of compatible almost complex structures  $\mathcal{AC}_\omega$  is an infinite dimensional Kähler manifold.

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$(M, \omega, J)$  is *almost Kähler* manifold,  $J \in \mathcal{AC}_\omega$ , and is a *Kähler* manifold if  $J$  is integrable,  $\mathcal{AC}_\omega^i$ .

$$\mathcal{AC}_\omega^i \subset \mathcal{AC}_\omega$$

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The Hamiltonian group  $\mathcal{G}$  of  $(M, \omega)$  acts by holomorphic isometries.

### Moment map

The scalar curvature is the moment map for  $\mathcal{G}$  acting on  $\mathcal{AC}_\omega$ . (A. Fujiki '92, S. Donaldson '94)

$$\mu : \mathcal{AC}_\omega \rightarrow C_0^\infty(M)$$

$$\mu(J) = S - \bar{S}$$

## Abstract

$(M, \omega, J_0)$  cscK with isometry group  $K$ .

### Finite dimensional slice

There is a ball  $B \subset \tilde{H}^1$  in the Kuranishi space and a section, through  $J_0$

$$\Phi : B \rightarrow \mathcal{AC}_\omega^i$$

So that  $\mu$  restricts to

$$\nu : (B, \Omega) \rightarrow \mathfrak{k}^*$$

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### Theorem

*Let  $J = \Phi(x)$  for  $x \in B$ . Then  $(M, J)$  admits a cscK metric in the Kähler class  $[\omega] \in H^2(M, \mathbb{R})$  if and only if the orbit  $K^{\mathbb{C}} \cdot x \subset B$  is polystable.*

This is due to G Székelyhidi, T. Brönnle 2010, but with gaps in argument.

# Applications

Some applications of the results.

- ▶ Study of deformations of constant scalar curvature Kähler metrics, Sasakian metrics, and Higgs bundles (C. Tippler, C. van Coevering, S. Simanca, Y. Fan)
- ▶ Proof that small complex deformations of cscK metrics have K-energy bounded below. (V. Tosatti)
- ▶ Slice theorem has been used to construct (course) moduli of cscK metrics, as a complex analytic space (R. Dervan, P. Naumann)
- ▶ Moduli space of constant scalar curvature Sasakian metrics (C. van Coevering)

## Kähler geometry

A *Kähler manifold* is a complex manifold  $(M, J)$  with an Hermitian metric  $g$  compatible with the complex structure:

$g$  is Kähler if

- ▶ Almost Hermitian:  $g(J\cdot, J\cdot) = g(\cdot, \cdot)$ .
- ▶  $J$  parallel:  $\nabla J = 0$ , where  $\nabla$  Levi-Civita connection.



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An Hermitian metric  $g$  on a complex manifold  $(M, J)$  is Kähler if and only if  $\omega(\cdot, \cdot) := g(J\cdot, \cdot)$  is closed,  $d\omega = 0$ .

The Kähler form  $\omega$  is a type  $(1, 1)$  symplectic form.

The Kähler manifold can be denoted  $(M, J, \omega)$  with  $g(\cdot, \cdot) = \omega(\cdot, J\cdot)$ .

If  $J$  is merely an almost complex structure then  $(M, J, \omega)$ ,  $d\omega = 0$ , is an *almost Kähler manifold*.

## Examples

Examples are abundant.

- ▶ Any algebraic manifold  $M \subset \mathbb{C}P^N$ . The Fubini Study metric on  $\mathbb{C}P^N$  restricts to a Kähler metric.
- ▶ A complex torus  $M = \mathbb{C}^n/\Lambda$ ,  $\Lambda$  is a lattice of rank  $2n$ , has the flat Kähler metric.
- ▶ Not all examples are algebraic: A compact complex manifold  $M$  is algebraic if and only if it admits a Kähler form  $\omega$  with  $[\omega] \in H^2(M, \mathbb{Z})$ . Then  $\omega \in c_1(L)$  for an ample holomorphic line bundle  $L$ .

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## Kodaira embedding

Let  $L$  be an ample line bundle on  $M$ , then

$$\iota_{L^r} : M \rightarrow \mathbb{P}\left(H^0(M, \mathcal{O}(L^r))^*\right)$$

is an embedding for  $r \gg 1$ .

- ▶ In the algebraic case  $M$  is “polarized” by  $L$ ,  $(M, L)$ . But in general  $[\omega] \in H^2(M, \mathbb{R})$  is an irrational class.

# Curvature

## $\partial\bar{\partial}$ -lemma

If  $\omega_1 \in [\omega_0]$  another Kähler form in the same cohomology class then

$$\omega_1 - \omega_0 = \sqrt{-1}\partial\bar{\partial}f, \quad \text{for some } f \in C^\infty(M).$$

Kähler metrics on  $(M, J)$  in a fixed Kähler class  $[\omega] \in H^2(M, \mathbb{R})$  are parametrized by potential functions  $f \in C^\infty(M)$ .

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## Ricci curvature

The Ricci curvature of the metric  $g$  has a simple expression on Kähler manifolds:

- ▶ The Ricci form  $\rho(\cdot, \cdot) := \text{Ric}(J\cdot, \cdot)$  is the  $(1, 1)$  form associated to Ric.
- ▶  $\rho = \sqrt{-1}\partial\bar{\partial}\log(\det \omega_{\alpha\bar{\beta}})$ , where in local coordinates  $\omega = \sqrt{-1} \sum \omega_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$ .

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$(M, J, g)$  is Kähler-Einstein if

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## Kähler-Einstein problem

Let  $K_M = \Lambda^{n,0} TM$  be the canonical bundle.

- ▶  $(\lambda < 0)$   $K_M$  is ample,  $[\omega] = \frac{2\pi}{\lambda} c_1(M)$ . (Solved S.-T. Yau, T. Aubin, 1976)
- ▶  $(\lambda = 0)$   $c_1(M) = 0$ . Existence follows from Yau's solution to the Calabi conjecture. (Solved by S.-T. Yau, 1977)
- ▶  $(\lambda > 0)$   $K_M^{-1}$  is ample, so  $(M, J)$  is Fano. Existence of K-E metric proved to be equivalent to K-polystability of  $(M, K_M^{-1})$  (X. Chen, S. Donaldson, S. Sun 2012, also G. Tian 2012)



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A *constant scalar curvature Kähler* metric (cscK) satisfies

$$S = \bar{S}$$

where

$$\begin{aligned}\bar{S} &= \frac{1}{\text{Vol}(M)} \int \frac{1}{(n-1)!} \rho \wedge \omega^{n-1} \\ &= \frac{n[\rho] \cdot [\omega]^{n-1}}{[\omega]^n}\end{aligned}$$

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## Yau-Tian-Donaldson Conjecture

A polarized complex manifold  $(M, L)$  should admit a cscK metric in the class  $c_1(L)$  if and only if  $(M, L)$  is K-polystable.

- ▶ One can extend the conjecture to consider Kähler manifolds  $(M, \alpha)$  polarized with a possibly irrational Kähler class  $\alpha \in H^2(M, \mathbb{R})$ . (Z. Sjöström Dyrefelt 2017)
- ▶ The Kähler-Einstein case was proved by X. Chen, S. Donaldson, S. Sun 2012, see also G. Tian 2012.
- ▶ The “only if” part has been proved. (J. Stoppa '08; R. Berman '13; R. Berman, T. Darvas, C. Lu '16)
- ▶ For the rest of the conjecture it has been proved that existence of cscK is equivalent to an analytic stability condition, convexity of a Kempf-Ness functional, K-energy. ( X. Chen, J. Cheng '18)

## Space of metrics

Fix a compact symplectic manifold  $(M, \omega)$ .  $\mathcal{AC}_\omega$  is the space of almost complex structures  $J$  compatible with  $\omega$ :

$$\omega(JX, JY) = \omega(X, Y)$$

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$\mathcal{AC}_\omega$  infinite dimensional Kähler manifold

- ▶ The *tangent space*  $T_J\mathcal{AC}_\omega$  consists of  $A \in \text{End}(TM)$  with

$$JA = -AJ \quad \text{and} \quad \omega(AX, Y) + \omega(X, AY) = 0$$

- ▶  $\mathcal{AC}_\omega$  has a complex structure  $\mathcal{J}$ :

$$\mathcal{J}A = J \circ A$$

## Space of metrics

- ▶ Fix  $J_0 \in \mathcal{AC}_\omega$ . Let  $\text{End}(TM, J_0)_S$  be all tensors  $\mu \in \text{End}(TM)$ 
  1.  $J_0 \circ \mu = -\mu \circ J_0$ ,
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- ▶ The Kähler metric is given by

$$G(A, B) = \frac{1}{2} \int \text{tr}(AB) \frac{\omega^n}{n!}$$

with the Kähler form

$$\Omega(A, B) = \frac{1}{2} \int \text{tr}(JAB) \frac{\omega^n}{n!}$$

## Moment map

Let  $\mathcal{G} \subset \text{Symp}(\omega)$  be the group of Hamiltonian diffeomorphisms;  $\mathcal{G}$  acts on  $(\mathcal{AC}_\omega, \mathcal{J}, \Omega)$  by holomorphic isometries.

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Theorem ( A. Fukiki '92, S. Donaldson '94)

*The action of  $\mathcal{G}$  on  $\mathcal{AC}_\omega$  is hamiltonian with moment map*

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$S_J$  is the scalar curvature of the Chern connection, the usual scalar curvature when  $J$  integrable.

The Lie algebra of  $\mathcal{G}$  is  $C_0^\infty(M)$ , hamiltonian functions.

## Moment map

For  $H \in C^\infty(M)$  the hamiltonian vector field  $X_H$  is defined by

$$dH = X_H \lrcorner \omega$$

The infinitesimal action of  $C_0^\infty(M)$

$$P : C_0^\infty(M) \rightarrow T_J \mathcal{A}C_\omega$$

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$\mu$  being a moment map means

$$\langle Q(\alpha), H \rangle_{L^2} = \Omega(\alpha, P(H))$$



## Kähler classes

The hamiltonian group  $\mathcal{G}$  has no complexification, but we can still describe the orbits of  $\mathcal{G}^{\mathbb{C}}$  on integrable  $J \in \mathcal{AC}_{\omega}^i$ . Extend

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$J_0, J_1$  are in the same "orbit" of  $\mathcal{G}^{\mathbb{C}}$  if there is a path  $\phi_t \in C_0^{\infty}(M, \mathbb{C})$  and  $J_t \in \mathcal{AC}_{\omega}^i$  joining  $J_0, J_1$

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There is an  $f \in \text{Diff}(M)$  with  $f^* J_1 = J_0$  and

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The orbit of  $\mathcal{G}^{\mathbb{C}}$  is essentially the Kähler class of  $([\omega], J_0)$

$$\left\{ \omega + \sqrt{-1} \partial \bar{\partial} \phi \mid \phi \in C^{\infty}(M), \omega + \sqrt{-1} \partial \bar{\partial} \phi > 0 \right\}$$

## Deformation complex

We need a Kuranishi space for the deformations of  $J_0 \in \mathcal{AC}_\omega^i$  modulo  $\mathcal{G}^{\mathbb{C}}$ .

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$$\Lambda^{0,2} \otimes T^{1,0} \cong \Lambda^{0,2} \otimes \Lambda^{0,1} \rightarrow \Lambda^3$$

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We have the elliptic complex

$$C_0^\infty(M, \mathbb{C}) \xrightarrow{P} T_{J_0} \mathcal{AC}_\omega^i \xrightarrow{\bar{\partial}} B^2 \rightarrow \dots$$

and

$$\tilde{H}^1 = \left\{ \alpha \in T_{J_0} \mathcal{AC}_\omega^i \mid P^* \alpha = \bar{\partial} \alpha = 0 \right\}$$

# Slice Theorem

## Theorem

*There is a ball  $B \subset \tilde{H}^1$  around the origin and a  $K$ -equivariant map*

$$\Phi : B \rightarrow \mathcal{AC}_\omega$$

*such that the  $\mathcal{G}$  orbit of every integrable  $J$  near  $J_0$  intersects the image of  $\Phi$ . If  $x$  and  $x'$  are in the same  $K^\mathbb{C}$  orbit,  $\Phi(x)$  integrable, then  $\Phi(x), \Phi(x')$  are in same  $\mathcal{G}^\mathbb{C}$  orbit. And moment map restricts to  $\mu : \mathcal{AC}_\omega \rightarrow C_0^\infty(M)$*

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We must perturb  $\Phi_1$  to cancel out the  $\mathfrak{k}^\perp$  portion of  $\mu$

$$\mathfrak{k} \oplus \mathfrak{k}^\perp = C_0^\infty(M).$$

## Slice Theorem

Let  $U \subset C_0^\infty(M)$  be a neighborhood of 0 so that if  $\phi \in U$

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And

$$f_1^*(J, \omega + dd^c\phi) = (F(\phi, J), \omega)$$

Note that if  $\phi \in L_k^2$  then  $F(\phi, J) \in L_{k-3}^2$ .



## Slice Theorem

Let  $V \subset \mathfrak{k}^\perp$  be a small ball. Define map

$$\begin{aligned} G : B_1 \times V &\rightarrow \mathfrak{k}^\perp \\ (x, \phi) &\mapsto \Pi S(F(\phi, \Phi_1(x))) \end{aligned}$$

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Differential at origin

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But if  $\phi \in L_k^2$  then  $G(x, \phi) \in L_{k-5}^2$ , so there is no hope of using the Banach implicit function theorem.

## Nash-Moser theorem

We need the Nash-Moser Implicit Function Theorem.  
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We note that  $G$  is a smooth map of Fréchet spaces. Further, it is a smooth *tame* map. The Nash-Moser theorem is applicable if

$$DG_{(x,\phi)} : \mathfrak{k}^\perp \rightarrow \mathfrak{k}^\perp$$

has a smooth tame inverse for  $(x, \phi)$  in a neighborhood of  $(0, 0)$ . This follows from the fact that  $DG_{(0,0)}$  is elliptic, Fredholm theory, and results in (Hamilton '82 ).

# Finite dimensional GIT

## Proposition

*After possibly shrinking  $B$ , suppose  $v \in B$  is polystable for the  $K^{\mathbb{C}}$  action on  $\tilde{H}^1$ . Then there is  $v_0 \in B$  in the  $K^{\mathbb{C}}$ -orbit of  $v$  with  $\mu(v_0) = 0$ .*

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Let

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be the moment map for the flat Kähler structure  $(\Omega_0, J)$  on  $\tilde{H}^1$ . The Taylor series gives

$$\mu(tv) = \mu(0) + t d\mu_0(v) + \frac{t^2}{2} \frac{d^2}{dt^2} \Big|_{t=0} \mu(tv) + O(t^3)$$

We have  $\mu = 0$  and  $d\mu_0 = 0$ .



## Finite dimensional GIT

It is straight forward that

$$\frac{d^2}{dt^2}\mu(tx)\Big|_{t=0} = 2\nu(x).$$

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One shows that if  $x$  is polystable iff  $\|\nu(x)\|$  has a minimum on  $K^{\mathbb{C}}$ -orbit. Then after possibly shrinking  $B$ ,  $\|\mu(x)\|$  has a minimum  $x'$  which must have  $\mu(x') = 0$ .

## K-polystability

K-polystability is defined in terms of 1-parameter degenerations, test configurations. A *test configuration* for  $(M, L)$  is a flat  $\mathbb{C}^*$ -equivariant family

$$\pi : \chi \rightarrow \mathbb{C}$$

with  $\mathcal{L}$  a relatively ample bundle on  $\chi$  and  $(\chi_t, \mathcal{L}_t) \cong (M, L^r)$  for  $t \neq 0$ .

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### Definition

$(M, L)$  is *K-polystable* if  $DF(\chi, \mathcal{L}) \geq 0$  for all test configurations. And if  $DF(\chi, \mathcal{L}) = 0$  for a test configuration with normal total space,  $\chi \cong M \times \mathbb{C}$ .

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### Theorem

Let  $(M, J_0, \omega)$  be cscK. Let  $J \in \mathcal{AC}_\omega^i$  be a nearby Kähler structure. If  $(M, J)$  is K-polystable then it admits a cscK metric  $(M, J, \omega + dd^c \phi)$ .

## K-polystability

If  $(M, J)$  is K-polystable then its  $\mathcal{G}^{\mathbb{C}}$  orbit must intersect the slice in a polystable orbit.

Otherwise, using the finite dimensional GIT picture, one constructs a (smooth) degeneration

$$\pi : \chi \rightarrow \mathbb{C}$$

where the central fiber  $\chi_0$  admits a cscK metric. Thus  $DF(\chi, \mathcal{L}) = 0$ , but  $\chi$  is not a product.

Thank You